



AAMI Consensus Report

Emergency Use CPAP/BiPAP Design Guidance

AAMI/CR505:2020



Emergency use CPAP/BiPAP design guidance

Revisions are expected to be made to this document as the COVID-19 situation evolves. Please go to https://www.aami.org/covid_cr to find the most current version as well as past versions. This document is freely available and may be shared with all interested stakeholders. Contact celliott@aami.org with any comments or questions.

Approved 15 April 2020 by
AAMI

Abstract: Provides targeted design constraints to enable rapid development of emergency use CPAP and BiPAP therapy equipment (EUCP) to treat patients with COVID-19 respiratory failure. This document is also intended to guide the review of an EUCP by an authority having jurisdiction.

Keywords: COVID-19

AAMI Consensus Report

A Consensus Report (CR) is a publication of the Association for the Advancement of Medical Instrumentation (AAMI) developed to provide concise, prompt and practical guidance on narrowly focused topics of high importance to the health technology community. A Consensus Report is intended to provide initial consensus guidance in response to an urgent/immediate need for guidance in the following instances:

- While more robust data/information develops on emergent areas
- When variation in the development, implementation or use of a product or process exists
- When existing standards or other documents require additional context/clarification

A Consensus Report is not subject to the same formal process as a standard and while similar in nature to a technical information report (TIR), a CR is based on the collective knowledge and experience of a selected group of stakeholders and has not undergone the wider reviews of a TIR or standard and offers an even greater response time.

CAUTION NOTICE: This AAMI CR may be revised or withdrawn at any time. Because it addresses a rapidly evolving field or technology, readers are cautioned to ensure that they have also considered information that may be more recent than this document.

All standards, technical information reports, consensus reports and other types of technical documents developed by AAMI are *voluntary*, and their application is solely within the discretion and professional judgment of the user of the document. Occasionally, voluntary technical documents are adopted by government regulatory agencies or procurement authorities, in which case the adopting agency is responsible for enforcement of its rules and regulations.

Comments on this document are invited and should be sent to AAMI, Attn: Standards Department, 901 N. Glebe Rd, Suite 300, Arlington, VA 22203.

Published by

AAMI
901 N. Glebe Rd., Suite 300
Arlington, VA 22203
www.aami.org

© 2020 by the Association for the Advancement of Medical Instrumentation

All Rights Reserved

Publication, reproduction, photocopying, storage, or transmission, electronically or otherwise, of all or any part of this document without the prior written permission of the Association for the Advancement of Medical Instrumentation is strictly prohibited by law. It is illegal under federal law (17 U.S.C. § 101, *et seq.*) to make copies of all or any part of this document (whether internally or externally) without the prior written permission of the Association for the Advancement of Medical Instrumentation. Violators risk legal action, including civil and criminal penalties, and damages of \$100,000 per offense. For permission regarding the use of all or any part of this document, visit the [Copyright Clearance Center](#).

Printed in the United States of America

ISBN 978-1-57020-752-5

Contents	Page
Task Group representation	iv
Acknowledgments	v
Purpose.....	1
Introduction	1
Review of the requirements of IEC 80601-2-70 and their applicability to an EUCP	2

Task Group representation

Association for the Advancement of Medical Instrumentation

COVID-19 Response Team Members

This AAMI Consensus Report (CR) was developed by a task group under the auspices of the AAMI COVID-19 Response Team.

The **AAMI COVID-19 Response Team** had the following members:

Cochairs: Jennifer Danieley
David Feinstein
Julian Goldman
Sandy Weininger

Members: Simona Bancos, FDA/CDRH
Andrew Bath, ResMed Inc.
Brandon Blakely, FDA/CDRH
Brad Bonnette, ECRI Institute
Caitlin Brady, Intertek
David Busch, UT Southwestern Medical Center
Anthony Ciccarello, Philips
Steven Dain, University of Western Ontario
Rakhi Dalal, FDA/CDRH
Jennifer Danieley, FDA/CDRH
Andy Doering, Medtronic
Simon Dunham, Weill Cornell Medicine
David Feinstein, American Society of Anesthesiologists (ASA)
Bruce Friedman, GE Healthcare
Hamed Ghods, FDA/CDRH
Julian Goldman, Partners HealthCare System
Ralf Heesch, Draeger Medical Systems Inc.
Heidi Horn, Nuvolo Technologies
Fernando Isaza, Philips
Michael Jaffe, Cardiorespiratory Consulting LLC
Gardner Kimm, Medtronic Inc Campus
Robert Kopotic, Edwards Lifesciences
Hubertus Lasthaus, VitalAire Germany
Ed Madsen, Avanos Medical
Phoebe Mainland, Alfred Health
Madeleine Manousaridis, Standards Australia
Benoit Marchal, Air Liquide
Thomas Marmet, GE Healthcare
Debra Milamed, Harvard University
Cyndy Miller, Medtronic Inc Campus
Bryant Moeller, ResMed Inc.
Curtis Morgan, 3M Health Care
Akito Ohmura, Teikyo University-Mizonokuchi Hospital
David Osborn, Philips
John Stark, 3M Health Care
Robert Steurer, Steurer Consulting Group
Dongbo Wang, FDA/CDRH
Sandy Weininger, FDA/CDRH

NOTE—Participation by federal agency representatives in the development of this document does not constitute endorsement by the federal government or any of its agencies.

Acknowledgments

AAMI gratefully acknowledges the writing team members, Julian Goldman, Dave Osborn, Anthony Ciccarello and Sandy Weininger for their outstanding and expeditious work in preparing these drafts for committee review and approval.

2 Emergency use CPAP/BiPAP design guidance

3 Purpose

4 The goals of this document are to provide targeted design constraints to enable rapid development of
5 emergency use CPAP and BiPAP therapy equipment (EUCP) to treat patients with COVID-19 respiratory
6 failure. This document is also intended to guide the review of an EUCP by an authority having jurisdiction.

7 It is recognized that the surge in COVID-19 is requiring extraordinary measures to provide mechanical
8 ventilatory support to keep pace with clinical need. This global community of clinicians, engineers,
9 manufacturers, regulators, and others are responding to this need by designing and producing, inexpensive,
10 and often open-source, equipment of varying complexity and capabilities for rapid deployment. This
11 document identifies clinical, engineering and test requirements appropriate to support safe operation. The
12 document identifies requirements that are required for non-EUCPs but might not be required for EUCPs
13 that have appropriate disclosures. Therefore, CPAP and BiPAP therapy equipment complying with the
14 requirements of this document need not provide a level of performance equivalent to that of critical care
15 ventilators (ISO 80601-2-12¹), life-supporting homecare ventilators (ISO 80601-2-72²), ventilatory support
16 equipment (ISO 80601-2-80³) or sleep apnea therapy equipment (ISO 80601-2-70⁴).

17 NOTE This document is intended to be used in conjunction with AAMI CR506:2020, *End User Disclosures for*
18 *CPAP/BiPAP*.

19 Introduction

20 The requirements outlined in this paper are modeled on ISO 80601-2-70:2015 presuming usage in
21 traditional healthcare facilities (e.g. hospitals, assisted living facilities, nursing homes) as well as spaces
22 converted for the care of large numbers of COVID-19 patients (e.g. convention centers, university
23 dormitories, motels). This paper presumes that the operators of the EUCP are trained professional
24 healthcare providers and not lay persons. Hence the requirements of ISO 80601-2-70:2015 specifically for
25 lay operators or the home healthcare environment are considered not applicable to an EUCP intended for
26 the treatment of COVID-19 patients.

27 Fundamentally, the EUCP needs to provide pressure at the patient-connection port within the alarm limits
28 set by the operator or inform the operator via an alarm condition that ventilation within the alarm limits is
29 not occurring. Such alarm conditions need to include:

- 30 • Gas or electricity supply failure.

¹ ISO 80601-2-12, *Medical electrical equipment — Part 2-12: Particular requirements for basic safety and essential performance of critical care ventilators*

² ISO 80601-2-72, *Medical electrical equipment — Part 2-72: Particular requirements for basic safety and essential performance of home healthcare environment ventilators for ventilator-dependent patients*

³ ISO 80601-2-80, *Medical electrical equipment — Part 2-80: Particular requirements for basic safety and essential performance of ventilatory support equipment for ventilatory insufficiency*

⁴ ISO 80601-2-70, *Medical electrical equipment — Part 2-70: Particular requirements for basic safety and essential performance of sleep apnoea breathing therapy equipment*

- 31 • Ventilator switched off while in mandatory ventilation mode.
- 32 • Inspiratory airway pressure exceeded.
- 33 • In BiPAP mode, expiratory airway pressure exceeded.
- 34 • Inspiratory pressure not achieved (equivalent to disconnection alarm condition).
- 35 • In a mandatory BiPAP mode, failure to cycle.
- 36 The ventilatory support needs of a COVID-19 patient can range from simple CPAP (continuous positive airway pressure) or BIPAP (bilevel positive airway pressure) for patients that are breathing spontaneously, to mandatory ventilation in either a pressure or volume control mode. Additionally, these patients are very likely to require inspired oxygen concentrations in excess of the 21 % contained in room air.
- 40 To properly manage a COVID-19 patient, ideally the EUCP needs to indicate to the operator:
- 41 • The current settings (e.g., expiratory pressure, FiO_2 (if possible), ventilation mode, and in BiPAP mode, inspiratory pressure).
- 43 • The current delivery (e.g., expiratory pressure and in BiPAP mode, inspiratory pressure).
- 44 To properly manage a COVID-19 patient, ideally the operator needs to be able to control the EUCP:
- 45 • FiO_2 over the range of 21 % (ambient) to 85 % of the source oxygen concentration input to the EUCP in no more than 10 % steps.
- 47 NOTE 1 When oxygen is provided by an oxygen concentrator, the input concentration is not 99.5 %, but can vary from 90% to 96% in which case the upper limit of FiO_2 would be 76 %.
- 49 NOTE 2 When oxygen is provided by a standalone, single patient oxygen concentrator where the oxygen is entrained into the breathing system, the upper limit of FiO_2 is much lower as those concentrators can generally only provide 6 l/min to 10 l/min.
- 52 • Set CPAP or expiratory pressure (5 to 15) cmH_2O in no more than 5 cmH_2O steps.
- 53 • In BiPAP mode, inspiratory pressure (10 to 40) cmH_2O in no more than 5 cmH_2O steps.
- 54 • For mandatory modes, respiratory rate from (10 to 30) inflations/min preferably adjustable in steps of no more than 2 inflations/min.
- 56 To help prevent contaminating the environment (and particularly the clinicians), viral filters need to be placed in the expiratory pathways. Particular attention needs to be placed on the exhaust port. As a result, **conventional CPAP masks and nasal pillows cannot be used for treating COVID 19 patients** because they are vented to the room. Non-vented ventilation masks must be used and the exhaust port needs to be moved down from the mask and be able to be fitted with a bacterial/viral filter so that all exhaust gas is filtered prior to entering the room.
- 62 For devices with a room air intake port, an intake viral filter.
- 63 **Review of the requirements of IEC 80601-2-70 and their applicability to an EUCP**
- 64 NOTE 1 Any subclause marked with an asterisk (*) means that further guidance for this requirement is available in Annex A of the standard.

66 Remember that ISO 80601-2-70 is a particular standard so it is written on top of (i.e. it modifies) the GS
67 (the general standard, IEC 60601-1⁵) and the collateral standards (i.e. IEC 60601-1-2⁶ on EMC and IEC
68 60601-1-6⁷ on usability). Unlike sleep apnea therapy equipment, a EUCP needs to have an alarm system
69 so parts of IEC 60601-1-8⁸ on alarm systems apply. There are additional applicable collateral standards
70 (and hence requirements) if the EUCP is intended for home use, ambulance use or as part of a physiological
71 closed loop control system. These standards can be purchased from many sources including ANSI⁹,
72 AAMI¹⁰, IEC¹¹ and ISO¹² and may be available for free.

73 NOTE 2 Words written in small caps are not 'normal English'. They are defined terms and have specific, defined
74 meanings. See Clause 3 in the GS and 201.3 in ISO 80601-2-70 for their definitions.

75 **4.3 ESSENTIAL PERFORMANCE**

76 **4.3.101 * Additional requirements for ESSENTIAL PERFORMANCE**

77 Additional ESSENTIAL PERFORMANCE requirements are found in the subclauses listed in Table 201.101.

78 **Table 201.101— Distributed essential performance requirements**

Requirement	Subclause
Providing static and dynamic pressure at the PATIENT-CONNECTION PORT of more than twice the AIRWAY PRESSURE ACCURACY limit disclosed in the instructions for use or generation of an <i>alarm condition</i>	
Low <i>airway pressure</i>	12.4.101.2
Continuing pressure	12.4.102
INTERNAL ELECTRICAL POWER SOURCE nears depletion	11.8
Power supply failure	11.8

79 **4.6 * ME EQUIPMENT or parts that contact the PATIENT**

80 aa) The breathing system or its parts or accessories that can come into contact with the PATIENT shall
81 be subject to the requirements for APPLIED PARTS.

⁵ IEC 60601-1, *Medical electrical equipment — Part 1: General requirements for basic safety and essential performance*

⁶ IEC 60601-1-2, *Medical electrical equipment — Part 1-2: General requirements for basic safety and essential performance - Collateral Standard: Electromagnetic disturbances - Requirements and tests*

⁷ IEC 60601-1-6, *Medical electrical equipment — Part 1-6: General requirements for basic safety and essential performance - Collateral standard: Usability*

⁸ IEC 60601-1-8, *Medical electrical equipment — Part 1-8: General requirements for basic safety and essential performance - Collateral Standard: General requirements, tests and guidance for alarm systems in medical electrical equipment and medical electrical systems*

⁹ ANSI, <https://webstore.ansi.org/>

¹⁰ AAMI, <https://my.aami.org/store/>

¹¹ IEC, <https://webstore.iec.ch/>

¹² ISO, <https://www.iso.org/store.html>

82 **4.11.101 Additional requirements for pressurized gas input**

83 These are the requirements for an EUCP intended to connect to either an air or oxygen pipeline.

84 **4.11.101.1 Overpressure requirement**

85 a) If the EUCP is intended to be connected to a MEDICAL GAS PIPELINE SYSTEM complying with
86 ISO 7396-1, then it

87 1) shall operate and meet the requirements of this part of ISO 80601 throughout its RATED
88 range of input pressure, and

89 2) shall not cause an unacceptable RISK under the SINGLE FAULT CONDITION of 1 000 kPa.

90 NOTE 1 Internal pressure regulators can be needed to accommodate the SINGLE FAULT CONDITION of maximum input
91 pressure, as well as the RATED range of input pressure.

92 NOTE 2 Under the SINGLE FAULT CONDITION of overpressure, it is desirable for gas to continue to flow to the breathing
93 system. Under this condition, the flowrate from the EUCP is likely to be outside of its specification.

94 b) If the EUCP has a maximum RATED input pressure in excess of 600 kPa, the EUCP shall not cause
95 an unacceptable risk under the SINGLE FAULT CONDITION of twice the maximum rated input pressure.

96 *Check compliance by functional testing in NORMAL USE and under NORMAL CONDITION with the most adverse
97 operating settings, by functional testing in SINGLE FAULT CONDITION and inspection of the RISK MANAGEMENT
98 FILE.*

99 **4.11.101.2 Compatibility requirement**

100 If the EUCP is intended to be connected to a MEDICAL GAS PIPELINE SYSTEM complying with ISO 7396-1, then

101 a) the RATED range of input pressure shall cover the range specified in ISO 7396-1, and

102 b) under NORMAL CONDITION,

103 1) the maximum 10 s average input flowrate required by the EUCP for each gas shall not
104 exceed 60 l/min at a pressure of 280 kPa, measured at the gas input port, and

105 2) the transient input flowrate shall not exceed 200 l/min averaged for 3 s, or

106 3) the ACCOMPANYING DOCUMENTS shall disclose the following:

107 i) the maximum 10 s average input flowrate required by the EUCP for each gas at a
108 pressure of 280 kPa, measured at the gas input port;

109 ii) the maximum transient input flowrate averaged for 3 s required by the EUCP for
110 each gas at a pressure of 280 kPa, measured at the gas input port;

111 iii) a warning to the effect that this EUCP is a high-flow device and should only be
112 connected to a pipeline installation designed using a diversity factor that allows for
113 the indicated high flowrate at a specified number of terminal outlets, in order to
114 avoid exceeding the pipeline design flowrate, thereby minimizing the risk that the
115 EUCP interferes with the operation of adjacent equipment.

116 *Check compliance by functional testing in NORMAL USE and under NORMAL CONDITION with the most adverse*
117 *operating settings and by inspection of the ACCOMPANYING DOCUMENTS.*

118 EXAMPLE The highest driving gas consumption, the highest fresh gas delivery, and, if provided, the highest
119 rated gas consumption at any gas power supply output can be the most adverse conditions.

120 **Clause 5 General requirements for testing of ME EQUIPMENT**

121 This Clause of the GS is fully required.

122 **201.5.101 Additional requirements for the general requirements for testing of ME EQUIPMENT**

123 This subclause is required.

124 This Clause explains how to interpret and perform tests as well as how to indicate specifications.

125 **Clause 6 Classification of ME EQUIPMENT and ME SYSTEMS**

126 This Clause of the GS is fully required.

127 An EUCP may be Class I or Class II or internally powered.

128 Unless there are electrical connections to the PATIENT (e.g. monitoring accessories) or heated breathing
129 tubes or electrically powered ACCESSORIES (e.g. expiratory valves located proximal to the patient), the
130 plastic breathing tubes provide adequate floating electrical isolation.

131 Protection from the ingress of water: IP21 is required and IP22 is recommended. Body fluids and IV bags
132 are an expected normal part of the environment of use.

133 Since the EUCP is expected to handle gas with an oxygen concentration in excess of 21 %, the
134 considerations for an OXYGEN RICH ENVIRONMENT (see IEC 60601-1, 11.2.2) are fully applicable.

135 **Clause 7 ME EQUIPMENT identification, marking and documents**

136 **7.1 General**

137 This subclause of the GS is recommended but not required.

138 Rationale: Although ensuring that the EUCP can be read both over the indicated illumination level and the
139 indicated cone of visibility is recommended, in this pandemic situation it is not considered mandatory. It is
140 noted that operators are likely wearing PPE and will have reduced visual acuity. Consideration should be
141 given to doubling the distance of the observer.

142 **7.2 Marking on the outside of ME EQUIPMENT or ME EQUIPMENT parts**

143 This subclause of the GS is required.

144 **201.7.2.4.101, 201.2.13.101, and 201.7.2.101**

145 These subclauses are required.

146 **7.3 Marking on the inside of ME EQUIPMENT or ME EQUIPMENT parts**

147 This subclause of the GS is required.

148 **7.4 Marking of controls and instruments**

149 This subclause of the GS is required.

150 **201.7.4.3 Units of measurement**

151 This subclause is required.

152 **7.5 Safety signs**

153 This subclause of the GS is required.

154 **7.6 Symbols**

155 This subclause of the GS is required.

156 **7.7 Colours of the insulation of conductors**

157 This subclause of the GS is required.

158 **7.8 Indicator lights and controls**

159 This subclause of the GS is required.

160 NOTE The pending amendment to the GS clarifies this requirement.

161 **7.9 Accompanying documents**

162 This subclause of the GS is required.

163 **201.7.9.2.1.101 and 201.7.9.2.9.101**

164 These subclauses are required.

165 **201.7.9.2.2.101 Additional requirements for warnings and safety notices**

166 This subclause is required.

167 **201.7.9.2.8.101, 201.7.9.2.12, 201.7.9.2.13.101 and 201.7.9.2.14.101**

168 These subclauses are required.

169 **201.7.9.3.1.101**

170 These subclauses are required.

171 **Clause 8 Protection against electrical hazards from ME EQUIPMENT**

172 This Clause of the GS is generally required.

173 NOTE 1 Unless there are electrical connections to the PATIENT (e.g. monitoring ACCESSORIES) or heated breathing tubes or electrically powered ACCESSORIES (e.g. expiratory valves located proximal to the PATIENT), the plastic breathing tubes provide adequate floating electrical isolation for PATIENT LEAKAGE CURRENT.

176 NOTE 2 Commercially available ITC (information technology communications) power supplies can be used, but
177 electrical safety criteria (e.g. ENCLOSURE TOUCH CURRENTS and dielectric withstand) are likely to exceed IEC 60601-1
178 limits. This can be mitigated in several ways such as:

- 179 • Use of a low leakage SEPARATION DEVICE (isolation transformer) (see 16.5 of the GS).
- 180 • A second PERMANENTLY INSTALLED PROTECTIVE EARTH CONNECTION (see 16.6 of the GS).
- 181 • Instructing the OPERATOR to not touch the EUCP and the PATIENT at the same time.

182 **Clause 9 Protection against mechanical hazards of ME EQUIPMENT and ME SYSTEMS**

183 This Clause of the GS is recommended but not required, except for 9.3 that is required.

184 **201.9.6.2.1.101 Additional requirements for audible acoustic energy**

185 This subclause is not required.

186 Rationale: This test is hard to perform and takes expensive equipment to perform. It only provides
187 information for disclosure that is not crucial for use during a pandemic.

188 **Clause 10 Protection against unwanted and excessive radiation HAZARDS**

189 This Clause of the GS is required.

190 **Clause 11 Protection against excessive temperatures and other HAZARDS**

191 This Clause of the GS is required.

192 **201.11.1.2.2 Applied parts not intended to supply heat to a PATIENT**

193 This subclause is only applicable if a heated humidifier is utilized. See ISO 80601-2-74.

194 **201.11.6.6 Cleaning and disinfection of ME EQUIPMENT or ME SYSTEM**

195 This subclause is required.

196 **201.11.6.4 Leakage**

197 This subclause is recommended but not required.

198 The chosen materials for the gas pathways need to be reasonably pure and simple in nature (e.g., minimize
199 the use of additives where possible). Avoid Polyvinyl chloride (PVC) in the gas pathways. When possible,
200 efforts should be taken to use materials which have a long history of safe use in currently marketed medical
201 devices. Care is needed to ensure that gas pathways are free of foreign material (e.g. oil, particles, volatile
202 organic compounds, mold release agents should be avoided in the gas pathways). Care is needed to
203 ensure that gas pathways do not contain toxic compounds (e.g., formaldehyde), and do not release noxious
204 gases (e.g., ozone, carbon monoxide) and fumes. The ACCOMPANYING DOCUMENTS should include
205 cautionary statement for any biocompatibility identified risk.

206 Rationale: The tests of ISO 18562 (series)¹³ are very expensive, time consuming to perform and require
207 very specialized test equipment. Requiring these tests for an EUCP would so delay their availability such
208 that new designs would not be available when needed.

209 **201.11.8 Additional requirements for interruption of the power supply/supply mains to me
210 equipment**

211 This subclause is not required. See 11.8 following.

212 Additional requirement

213 **11.8 Additional requirements for interruption of the power supply/SUPPLY MAINS TO ME EQUIPMENT
214 ALARM CONDITION**

215 This subclause is required with the following additions:

216 a) An EUCP shall be equipped with an INTERNAL ELECTRICAL POWER SOURCE.

217 b) An EUCP shall be equipped with an automatic switchover to the INTERNAL ELECTRICAL POWER
218 SOURCE when the SUPPLY MAINS falls outside the values necessary to maintain normal operation.

219 c) A fully charged INTERNAL ELECTRICAL POWER SOURCE shall be capable of powering the EUCP for at
220 least 30 min.

221 d) A means shall be provided for determining the state of this INTERNAL ELECTRICAL POWER SOURCE.

222 e) A means shall be provided to indicate that the EUCP is powered from the INTERNAL ELECTRICAL
223 POWER SOURCE.

224 f) The EUCP shall either:

225 1) be equipped with an ALARM SYSTEM that:

226 i) detects an ALARM CONDITION of at least a LOW PRIORITY to indicate the switchover
227 to the INTERNAL ELECTRICAL POWER SOURCE;

228 ii) detects an ALARM CONDITION of at least a MEDIUM PRIORITY to indicate that the
229 INTERNAL ELECTRICAL POWER SOURCE is nearing depletion at least 15 min prior to
230 the loss of ventilation;

231 2) or be equipped with an INTELLIGENT ALARM SYSTEM, based on additional information,
232 determines that the impending INTERNAL ELECTRICAL POWER SOURCE failure ALARM
233 CONDITION is suppressed or its priority is changed.

234 NOTE The OPERATOR needs sufficient time "prior to the loss of all power" to take action to ensure that alternative
235 arrangements can be made to continue the function of the EUCP.

236 g) The instructions for use shall disclose:

237 1) the operational time of the EUCP when powered from each power source under the
238 following conditions a fully charged power source and the conditions of Table 201.102;

¹³ ISO 18562 (series), *Biocompatibility evaluation of breathing gas pathways in healthcare applications*

- 239 2) the behavior of the EUCP after a switch-over to
240 i) the INTERNAL ELECTRICAL POWER SOURCE, or
241 ii) an alternative SUPPLY MAINS.
242 3) the behavior of the EUCP while the recharging of
243 i) the INTERNAL ELECTRICAL POWER SOURCE, or
244 ii) an alternative SUPPLY MAINS.
245 4) the minimum time between complete loss of INTERNAL ELECTRICAL POWER SOURCE and
246 h) the start of the LOW PRIORITY impending INTERNAL ELECTRICAL POWER SOURCE failure ALARM
247 CONDITION, and
248 i) the MEDIUM PRIORITY impending INTERNAL ELECTRICAL POWER SOURCE failure ALARM
249 CONDITION.

250 *Check compliance by functional testing and inspection of the instructions for use.*

251 An external UPS (uninterruptable power supply) may be used to fulfill the above requirement.

252 Rationale: The power back up and appropriate notification of power loss is what is important. It need not
253 be integrated into the EUCP.

254 **Clause 12 Accuracy of controls and instruments and protection against hazardous outputs**

255 This Clause of the GS is required.

256 **201.12.1 Accuracy of controls and instruments**

257 This subclause is not required.

258 Rationale: Although ensuring that the EUCP can be read both over the indicated illumination level and the
259 indicated cone of visibility is recommended, in this pandemic situation, it is not considered mandatory. It is
260 noted that operators are likely wearing PPE and will have reduced visual acuity. Consideration should be
261 given to doubling the distance of the observer.

262 **201.12.1.101 (CPAP mode)**

263 This subclause is required.

264 **201.12.1.102 (BiPAP mode)**

265 If equipped with a bilevel mode, this subclause is required.

266 **201.12.1.103**

267 This subclause is required.

268 **201.12.2.101 Usability of ME EQUIPMENT**

269 This subclause is required except for d) that is not applicable.

270 Rationale: Requirement d) is related to home use by LAY OPERATORS.

271 **201.12.4 Protection against hazardous output**

272 All subclauses of 201.12.4 are required except for 201.12.4.101 that is replaced with the following.

273 Modify 201.12.4.101 to make the AIRWAY PRESSURE MONITORING EQUIPMENT required.

274 **12.4.101 Measurement of AIRWAY PRESSURE**

275 **12.4.101.1 General**

276 a) The EUCP shall be equipped with MONITORING EQUIPMENT to indicate the AIRWAY PRESSURE.

277 b) The site of actual measurement may be anywhere in the breathing system, but the indicated value
278 shall be referenced to the PATIENT-CONNECTION PORT.

279 c) Under steady-state conditions, the indicated AIRWAY PRESSURE shall be accurate to within
280 $\pm (2 + 4\%)$ of the actual reading) hPa (cmH₂O).

281 d) The EUCP should indicate the plateau pressure at end inspiration, if measured.

282 NOTE This is measured by holding the user-powered resuscitator bag compressed at the end of inspiration for
283 approximately 200 ms allowing the plateau pressure to be measured.

284 *Check compliance by functional testing.*

285 **12.4.101.2 Low AIRWAY PRESSURE ALARM CONDITION**

286 a) The AIRWAY PRESSURE MONITORING EQUIPMENT shall be equipped with an ALARM SYSTEM that detects
287 an ALARM CONDITION to indicate when the low AIRWAY PRESSURE ALARM LIMIT is reached.

288 b) The low AIRWAY PRESSURE ALARM CONDITION

289 1) shall be at least a MEDIUM PRIORITY, unless

290 2) an INTELLIGENT ALARM SYSTEM, based on additional information, determines that

291 i) the low AIRWAY PRESSURE ALARM CONDITION is suppressed, or

292 ii) its priority is changed, or

293 4) may start at LOW PRIORITY, and

294 5) if this state continues, escalate to MEDIUM PRIORITY.

295 c) The low AIRWAY PRESSURE ALARM SIGNAL may be inactivated with ALARM OFF.

296 d) ALARM OFF may be activated by the EUCP.

297 e) The low AIRWAY PRESSURE ALARM LIMIT may be

298 1) pre-adjusted,

299 2) RESPONSIBLE ORGANIZATION-adjustable,

- 300 3) OPERATOR-adjustable,
301 4) EUCP-adjustable, or
302 5) a combination of OPERATOR-adjustable and EUCP-adjustable.

303 f) If the AIRWAY PRESSURE ALARM LIMIT is adjustable by the EUCP, a summary description of the
304 algorithm that determines the ALARM LIMIT value shall be disclosed in the instructions for use.

305 NOTE Depending on the type of ventilation mode being utilized, there can be more than one active ALARM LIMIT.

306 *Check compliance by functional testing.*

307 Additional requirement:

308 **12.4.102 Continuing pressure ALARM CONDITION**

309 a) When in a mandatory BiPAP mode, the EUCP shall be equipped with an ALARM SYSTEM that detects
310 a continuing positive pressure of less than 10 cmH₂O variation longer than 15 s.

311 b) The continuing positive pressure ALARM CONDITION

312 1) shall be HIGH PRIORITY, unless

313 2) an INTELLIGENT ALARM SYSTEM, based on additional information, determines that

314 i) the continuing positive pressure ALARM CONDITION is suppressed, or

315 ii) its priority is changed.

316 **201.12.101 Protection against accidental adjustments**

317 This subclause is required.

318 **Clause 13 HAZARDOUS SITUATIONS and fault conditions for ME EQUIPMENT**

319 This Clause of the GS is required with the following addition:

320 **Independence of ventilation control function and related RISK CONTROL measures**

321 a) A SINGLE FAULT CONDITION shall not cause the simultaneous failure of:

322 1) the ventilation-control function; and

323 2) the corresponding PROTECTION DEVICE.

324 b) A SINGLE FAULT CONDITION shall not cause failure in such a way that a failure of:

325 1) the ventilation-control function and the corresponding MONITORING EQUIPMENT is not
326 detected, or

327 2) the ventilation-control function and the corresponding ALARM SYSTEM is not detected.

328 *Check compliance by inspection and functional testing.*

329 **Clause 14 PROGRAMMABLE ELECTRICAL MEDICAL SYSTEMS (PEMS)**

330 This Clause of the GS is recommended but not required.

331 **Clause 15 Construction of ME EQUIPMENT**

332 This Clause of the GS is required.

333 **Clause 16 ME SYSTEMS**

334 This Clause of the GS is required.

335 **Clause 17 Electromagnetic compatibility of ME EQUIPMENT and ME SYSTEMS**

336 See Clause 202.

337 **201.101 BREATHING GAS PATHWAY connectors**

338 This subclause is required.

339 **201.102 Requirements for the BREATHING GAS PATHWAY and ACCESSORIES**

340 This subclause is required.

341 NOTE ISO 80601-2-74 has replaced ISO 8185.

342 **201.103 FUNCTIONAL CONNECTION**

343 This subclause is required.

344 **201.104 Training**

345 This subclause is required.

346 **202 Electromagnetic disturbances — Requirements and tests**

347 This Clause is recommended but not required.

348 Rationale: The tests of IEC 60601-1-2 are time consuming and expensive set of tests that take very
349 specialized equipment. Requiring these tests for an EUCP would delay availability such that new designs
350 might not be available when needed. Disclosure that these tests have not been performed and that other
351 equipment must be kept at a distance should be considered sufficient.

352 **206 Usability**

353 This Clause is recommended but not required.

354 Rationale: USABILITY as described in IEC 60601-1-6 ensures safety by proscribing a design PROCESS. A
355 proper USABILITY evaluation is extremely time consuming and requires subject matter experts. A hard to use
356 EUCP can be better than no EUCP.

357 **208 General requirements, tests and guidance for alarm systems in medical electrical equipment
358 and medical electrical systems**

359 This Clause is recommended but not required.

360 Rationale: Full compliance with IEC 60601-1-8 would be helpful to the OPERATORS as they would more
361 readily understand the operation of the EUCP ALARM SYSTEM. Care needs to be taken with auditory ALARM
362 SIGNALS to ensure that they are not too obtrusive, appropriately priority encoded (so that more urgent
363 problems are more highlighted) and there must be a means to inactivate any auditory ALARM SIGNAL. The
364 ALARM SYSTEM, ALARM LIMITS, and ALARM CONDITION priorities are complex areas to optimize for USABILITY.
365 Annex A of IEC 60601-1-8 provides a great deal of guidance.

366 **211 Requirements for medical electrical equipment and medical electrical systems used in the**
367 **home healthcare environment**

368 This Clause is not required.

369 Rationale: These requirements relate to home use.