

AAMI Consensus Report

Emergency Use
Resuscitator Systems
Design Guidance

AAMI/CR503:2020

Emergency use resuscitator system (EURS) design guidance

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AAMI

Abstract: Provides targeted design constraints to enable rapid development of an “ambu bag squeezer” as an emergency use resuscitator system (EURS) to treat patients with COVID-19 respiratory failure. This document is also intended to guide the review of an EURS by an authority having jurisdiction.

Keywords: COVID-19

AAMI Consensus Report

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Task Group representation

Association for the Advancement of Medical Instrumentation

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Emergency use resuscitator systems (EURS) design guidance

Purpose

The goals of this document are to provide targeted design constraints to enable rapid development of an “ambu bag squeezer” as an emergency use resuscitator system (EURS) to treat patients with COVID-19 respiratory failure. This document is also intended to guide the review of an EURS by an authority having jurisdiction.

It is recognized that the surge in COVID-19 is requiring extraordinary measures to provide mechanical ventilatory support to keep pace with clinical need. This global community of clinicians, engineers, manufacturers, regulators, and others are responding to this need by designing and producing, inexpensive, and often open-source, ventilators of varying complexity and capabilities for rapid deployment. This document identifies clinical, engineering and test requirements appropriate to support safe operation. The document identifies requirements that are required for non-EURSs but might not be required for EURSs that have appropriate disclosures. Therefore, equipment complying with the requirements of this document need not provide a level of performance equivalent to that of critical care ventilators (ISO 80601-2-12¹) or life-supporting homecare ventilators (ISO 80601-2-72²) or of ventilatory support equipment (ISO 80601-2-80³).

NOTE This document is intended to be used in conjunction with AAMI CR504:2020, *End user disclosures for emergency use resuscitator systems (EURS)*.

Introduction

The requirements outlined in this paper are modeled on the MIT E-vent⁴ ventilator project, where a machine has been designed to replace a trained clinician by mechanically squeezing a user-powered resuscitator (e.g. “ambu bag”) as specified in ISO 10651-4⁵.

The requirements outlined in this paper are presuming usage in traditional healthcare facilities (e.g. hospitals, assisted living facilities, nursing homes) as well as spaces converted for the care of large numbers of COVID-19 patients (e.g. convention centers, university dormitories, motels). This paper presumes that the operators of the EURS are all trained professional healthcare providers and not lay

¹ ISO 80601-2-12, *Medical electrical equipment — Part 2-12: Particular requirements for basic safety and essential performance of critical care ventilators*

² ISO 80601-2-72, *Medical electrical equipment — Part 2-72: Particular requirements for basic safety and essential performance of home healthcare environment ventilators for ventilator-dependent patients*

³ ISO 80601-2-80, *Medical electrical equipment — Part 2-80: Particular requirements for basic safety and essential performance of ventilatory support equipment for ventilatory insufficiency*

⁴ <https://e-vent.mit.edu>

⁵ ISO 10651-4, *Lung ventilators — Part 4: Particular requirements for operator-powered resuscitator*

29 persons. Hence the requirements of IEC 60601-1⁶ specifically the home healthcare environment are
30 considered not applicable to an EURS intended for the treatment of COVID-19 patients.

31 Fundamentally, the EURS needs to provide ventilation at the patient-connection port as set by the operator
32 or inform the operator via an alarm condition that ventilation is not occurring. Such alarm conditions need
33 to include:

- 34 • Electricity supply failure.
- 35 • EURS switched off inadvertently.
- 36 • Maximum inspiratory airway pressure exceeds 40 cmH₂O.
- 37 • Low inspiratory pressure (equivalent to disconnection alarm condition).
- 38 • Continuing pressure
- 39 • Estimated tidal volume not achieved or exceeded.

40 The ventilatory support needs of a COVID-19 patient can range from simple BIPAP (bilevel positive airway
41 pressure) for patients that are breathing spontaneously to mandatory ventilation in either a pressure-support
42 or volume control mode. Additionally, these patients are very likely to require inspired oxygen
43 concentrations (FiO₂) in excess of the 21% contained in room air.

44 To properly manage a COVID-19 patient, the EURS needs to indicate to the operator at a minimum:

- 45 • The current settings (e.g., inspiratory pressure, tidal volume estimate, frequency).
- 46 • The current delivery (e.g., inspiratory pressure, respiratory rate) at the patient-connection port).

47 NOTE PEEP is controlled with this equipment by utilizing a PEEP valve attached to the user-powered resuscitator.

48 Equipment made according to this specification does not measure:

- 49 • flow, so the tidal volume is not measured. It is only an estimate and is dependent on both the patient
50 and model of user-powered resuscitator utilized.
- 51 • or control FiO₂. The value of FiO₂ relies on O₂ delivery to the reservoir bag.

52 To properly manage a COVID-19 patient, the operator needs to be able to control the EURS at a minimum:

- 53 • I:E ratio (ratio of inspiratory to expiratory time) of 1:2 preferably adjustable from 1:1 to 1:4
- 54 • Respiratory rate from (10 to 30) inflations/min preferably adjustable in steps of no more than 2
55 inflations/min
- 56 • Tidal volume estimate (350 to 450) ml in no more than steps of 50 ml, preferably a lower range of
57 250 ml and an upper range of 600 ml or 800 ml

⁶ IEC 60601-1, *Medical electrical equipment — Part 1: General requirements for basic safety and essential performance*

58 It is recommended that patient monitoring that includes capnography be employed and that continual
59 monitoring of the patient be employed when utilizing an EURS.

60 To help prevent contaminating the environment (and particularly the clinicians), filters need to be placed in
61 the expiratory pathways. Particular attention needs to be placed on the exhaust port.

62 **Review of the requirements of IEC 60601-1 and their applicability to an EURS**

63 NOTE 1 Adding an electromechanical squeezing accessory to a non-electrically powered resuscitator complying with
64 ISO 10651-4 creates a system that now falls within the scope of IEC 60601-1⁷.

65 NOTE 2 Any subclause marked with an asterisk (*) means that further guidance for this requirement is available in
66 Annex A of the standard.

67 The GS (the general standard, IEC 60601-1) has required collateral standards (i.e. IEC 60601-1-2⁸ on
68 EMC, IEC 60601-1-6⁹ on usability and IEC 60601-1-8¹⁰ on alarms) that apply to an EURS. There are
69 additional applicable collateral standards (and hence requirements) if the EURS is intended for home use,
70 ambulance use or as part of a physiological closed loop control system. These standards can be purchased
71 from many sources including ANSI¹¹ and AAMI¹².

72 NOTE 3 Words written in small caps are not 'normal English'. They are defined terms and have specific, defined
73 meanings. See Clause 3 in the GS for their definitions.

74 **Clause 3 Terminology and definitions**

75 Additional definitions include:

76 **AIRWAY PRESSURE**

77 pressure at the PATIENT-CONNECTION PORT, relative to ambient pressure unless otherwise specified

78 Note 1 to entry: In addition to its direct reference, this term or its symbol P_{aw} , displayed in various character styles, is
79 only used, in context or by qualification, to designate this concept as a measured quantity.

80 Note 2 to entry: The site(s) of actual measurement(s) may be anywhere in the breathing system, providing that the
81 indicated value is referenced to that at the PATIENT-CONNECTION PORT.

82 Note 3 to entry: This is the generic term for this fundamental concept. Post-coordinated terms, for example, peak
83 inspiratory pressure and baseline airway pressure, are used in particular contexts.

84 Note 4 to entry: Although providing no explicit indication as to where along the PATIENT'S airway this pressure is
85 measured, this term, along with its symbol, has become widely adopted as referencing the pressure at the point at
86 which artificial-ventilation equipment is connected to the PATIENT'S airway or to an airway device. This is the final site

⁷ IEC 60601-1, *Medical electrical equipment — Part 1: General requirements for basic safety and essential performance*

⁸ IEC 60601-1-2, *Medical electrical equipment — Part 1-2: General requirements for basic safety and essential performance - Collateral Standard: Electromagnetic disturbances - Requirements and tests*

⁹ IEC 60601-1-6, *Medical electrical equipment — Part 1-6: General requirements for basic safety and essential performance - Collateral standard: Usability*

¹⁰ IEC 60601-1-8, *Medical electrical equipment — Part 1-8: General requirements for basic safety and essential performance - Collateral Standard: General requirements, tests and guidance for alarm systems in medical electrical equipment and medical electrical systems*

¹¹ ANSI, <https://webstore.ansi.org/>

¹² AAMI, <https://my.aami.org/store/>

87 where a common and replicable pressure can be continuously monitored, conveniently, before breathing gas enters
88 the PATIENT.

89 Note 5 to entry: A pressure measured in the PATIENT'S airway at a site other than at the PATIENT-CONNECTION PORT is
90 referred to in this document as a respiratory pressure.

91 **BIOCOMPATIBILITY**

92 ability to be in contact with a living system without producing an unacceptable adverse effect

93 Note 1 to entry: Medical devices may produce some level of adverse effect, but that level may be determined to be
94 acceptable when considering the benefits provided by the medical device.

95 **GAS PATHWAY**

96 interior surfaces, over which gases or liquids that can be inspired, in a medical device bounded by the ports
97 through which gases or liquids enter and leave the medical device including the patient interface or the
98 interior surfaces of enclosures that are in contact with gases or liquids that can be inspired

99 Note 1 to entry: PATIENT contact surfaces such as the outer surfaces of a tracheal tube or the cushion of a mask are
100 evaluated according to the ISO 10993 series.

101 EXAMPLE 1 The breathing system, inlet filter, gas mixer, blower and internal piping.

102 EXAMPLE 2 Enclosed chamber of an incubator including the mattress or the inner surface of an oxygen hood.

103 EXAMPLE 3 The inner surfaces of breathing tubes, tracheal tubes or masks and mouthpieces.

104 **MONITORING EQUIPMENT**

105 ME EQUIPMENT or part that continuously or continually measures and indicates the value of a variable to the
106 OPERATOR

107 **PATIENT-CONNECTION PORT**

108 port of a breathing system intended for connection to an airway device

109 Note 1 to entry: The PATIENT-CONNECTION PORT is the end of the ventilator breathing system proximal to the patient.

110 Note 2 to entry: The PATIENT-CONNECTION port is typically in the form of a suitable for connection to an airway device
111 such as a tracheal or tracheostomy tube, a face mask, or a supralaryngeal airway, or to a test apparatus.

112 Note 3 to entry: Current particular standards typically specify that the PATIENT-CONNECTION PORT is required to be in the
113 form of a specific standardized connector(s), for example, a connector(s) conforming to ISO 5356-1.

114 Note 4 to entry: In ventilators designed to provide NIV (non-invasive ventilation) and where the ventilation function is
115 dependent upon a design feature of a component that connects the ventilator to the *patient's* airway, then the PATIENT-
116 CONNECTION PORT typically becomes the contact line of the seal to the PATIENT'S face and there is NO PATIENT-CONNECTION
117 PORT connector.

118 **PROTECTION DEVICE**

119 part or function of ME EQUIPMENT that, without intervention by the OPERATOR, protects the PATIENT from
120 hazardous output due to incorrect delivery of energy or substances

121 **Clause 5 General requirements for testing of ME EQUIPMENT**

122 This Clause of the GS is fully required.

123 **Clause 6 Classification of ME EQUIPMENT and ME SYSTEMS**

124 This Clause of the GS is fully required.

125 An EURS may be Class I or Class II or internally powered.

126 Unless there are electrical connections to the PATIENT (e.g. monitoring ACCESSORIES) or heated breathing
127 tubes or electrically powered ACCESSORIES (e.g. expiratory valves located proximal to the PATIENT), the
128 plastic breathing tubes provide adequate floating electrical isolation.

129 Protection from the ingress of water: IP21 should be required and IP22 is recommended for the electrical
130 parts. Body fluids and IV bags are an expected normal part of the environment of use.

131 Since the EURS compresses a resuscitator that can contain an oxygen concentration in excess of the 25 %,
132 the considerations for an OXYGEN RICH ENVIRONMENT apply (see IEC 60601-1, 11.2.2). However, if care has
133 been taken to ensure that any motor and the electronics are not in the oxygen rich environment, then these
134 requirements do not apply.

135 **Clause 7 ME EQUIPMENT identification, marking and documents**

136 **7.1 General**

137 This subclause of the GS is recommended but not required.

138 Rationale: Although ensuring that the EURS can be read both over the indicated illumination level and the
139 indicated cone of visibility is recommended, in this pandemic situation it is not considered mandatory. It is
140 noted that operators are likely wearing PPE and will have reduced visual acuity. Consideration should be
141 given to doubling the distance of the observer.

142 **7.2 Marking on the outside of ME EQUIPMENT or ME EQUIPMENT parts**

143 This subclause of the GS is required.

144 **7.3 Marking on the inside of ME EQUIPMENT or ME EQUIPMENT parts**

145 This subclause of the GS is required.

146 **7.4 Marking of controls and instruments**

147 This subclause of the GS is required.

148 **201.7.4.2 Control devices**

149 This subclause is required.

150 **201.7.4.3 Units of measurement**

151 This subclause is required.

152 **7.5 Safety signs**

153 This subclause of the GS is required.

154 **7.6 Symbols**

155 This subclause of the GS is required.

156 **7.7 Colours of the insulation of conductors**

157 This subclause of the GS is required.

158 **7.8 Indicator lights and controls**

159 This subclause of the GS is required.

160 NOTE The pending amendment to the GS clarifies this requirement.

161 **7.9 ACCOMPANYING DOCUMENTS**

162 This subclause of the GS is required.

163 **201.7.9.1 Additional general requirements**

164 This subclause is required.

165 **Clause 8 Protection against electrical HAZARDS from ME EQUIPMENT**

166 This Clause of the GS is generally required.

167 NOTE 1 Unless there are electrical connections to the PATIENT (e.g. monitoring ACCESSORIES) or heated breathing tubes
168 or electrically powered ACCESSORIES (e.g. expiratory valves located proximal to the patient), the plastic breathing tubes
169 provide adequate floating electrical isolation for PATIENT LEAKAGE CURRENT.

170 NOTE 2 Commercially available ITC (information technology communications) power supplies can be used, but
171 electrical safety criteria (e.g. ENCLOSURE TOUCH CURRENTS and dielectric withstand) are likely to exceed IEC 60601-1
172 limits. This can be mitigated in several ways such as:

- 173 • Use of a low leakage SEPARATION DEVICE (isolation transformer) (see 16.5 of the GS);
- 174 • A second PERMANENTLY INSTALLED PROTECTIVE EARTH CONNECTION (see 16.6 of the GS);
- 175 • Instructing the OPERATOR to not touch the EURS and the PATIENT at the same time.

176 **Clause 9 Protection against MECHANICAL HAZARDS of ME EQUIPMENT and ME SYSTEMS**

177 This Clause of the GS is recommended but not required, except for 9.2 and 9.3 that are required.

178 **Clause 10 Protection against unwanted and excessive radiation HAZARDS**

179 This Clause of the GS is required.

180 **Clause 11 Protection against excessive temperatures and other HAZARDS**

181 This Clause of the GS is required, except as indicated below.

182 **11.7 BIOCOMPATIBILITY of ME EQUIPMENT and ME SYSTEMS**

183 The GAS PATHWAYS should be evaluated for BIOCOMPATIBILITY according to ISO 18562-1:2017.

184 The chosen materials for the GAS PATHWAYS need to be reasonably pure and simple in nature (minimize the
185 use of additives where possible). Avoid Polyvinyl chloride (PVC) in the GAS PATHWAYS. When possible,
186 efforts should be taken to use materials which have a long history of safe use in currently marketed medical
187 devices. Care is needed to ensure that gas pathways are free of foreign material (e.g. oil, particles, volatile
188 organic compounds, mold release agents should be avoided in the GAS PATHWAYS). Care is needed to
189 ensure that GAS PATHWAYS do not contain toxic compounds (e.g., formaldehyde), and do not release noxious
190 gases (e.g., ozone, carbon monoxide) and fumes. The ACCOMPANYING DOCUMENTS should include
191 cautionary statement for any BIOCOMPATIBILITY identified RISK.

192 Rationale: The tests of ISO 18562 (series)¹³ are very expensive, time consuming to perform and require
193 very specialized test equipment. Requiring these tests for an EURS would so delay their availability such
194 that new designs would not be available when needed. The preponderance of the gas pathways (i.e. the
195 user-powered resuscitator and tubing) are existing legally marketed medical devices.

196 **11.8 Interruption of the power supply/supply mains to ME EQUIPMENT**

197 This subclause is required with the following additions:

- 198 a) An EURS shall be equipped with an INTERNAL ELECTRICAL POWER SOURCE.
- 199 b) An EURS shall be equipped with an automatic switchover to the internal electrical power source
200 when the supply mains falls outside the values necessary to maintain normal operation.
- 201 c) A fully charged INTERNAL ELECTRICAL POWER SOURCE shall be capable of powering the EURS for at
202 least 30 min.
- 203 d) A means shall be provided for determining the state of this INTERNAL ELECTRICAL POWER SOURCE.
- 204 e) A means shall be provided to indicate that the EURS is powered from the INTERNAL ELECTRICAL
205 POWER SOURCE.
- 206 f) The EURS shall either:
- 207 1) be equipped with an ALARM SYSTEM that:
- 208 i) detects an ALARM CONDITION of at least a LOW PRIORITY to indicate the switchover to the
209 INTERNAL ELECTRICAL POWER SOURCE;
- 210 ii) detects an ALARM CONDITION of at least a MEDIUM PRIORITY to indicate that the INTERNAL
211 ELECTRICAL POWER SOURCE is nearing depletion at least 15 min prior to the loss of
212 ventilation;
- 213 2) or be equipped with an INTELLIGENT ALARM SYSTEM, based on additional information, determines
214 that the impending INTERNAL ELECTRICAL POWER SOURCE failure ALARM CONDITION is suppressed
215 or its priority is changed.

216 NOTE The OPERATOR needs sufficient time “prior to the loss of all power” to take action to ensure that alternative
217 arrangements can be made to continue the function of the EURS.

- 218 g) The instructions for use shall disclose:

¹³ ISO 18562 (series), *Biocompatibility evaluation of breathing gas pathways in healthcare applications*

- 219 1) the operational time of the EURS when powered from each power source under the following
220 conditions a fully charged power source and the conditions of Table 201.102;
- 221 2) the behavior of the EURS after a switch-over to:
- 222 i) the INTERNAL ELECTRICAL POWER SOURCE, or
223 ii) an alternative SUPPLY MAINS.
- 224 3) the behavior of the EURS while the recharging of:
- 225 i) the INTERNAL ELECTRICAL POWER SOURCE, or
226 ii) an alternative SUPPLY MAINS.
- 227 4) the minimum time between complete loss of INTERNAL ELECTRICAL POWER SOURCE, and
- 228 i) the start of the LOW PRIORITY impending INTERNAL ELECTRICAL POWER SOURCE failure ALARM
229 CONDITION, and
- 230 ii) the MEDIUM PRIORITY impending INTERNAL ELECTRICAL POWER SOURCE failure alarm
231 condition.

232 *Check conformance by functional testing and inspection of the instructions for use.*

233 An external UPS (uninterruptable power supply) may be used to fulfill this requirement.

234 Rationale: The power back up and appropriate notification of power loss is what is important. It need not
235 be integrated into the EURS.

236 **Clause 12 Accuracy of controls and instruments and protection against hazardous outputs**

237 This Clause of the GS is required, except as indicated below.

238 **11.2 Usability of ME EQUIPMENT**

239 Conformance with IEC 60601-1-6 is recommended, but not required.

240 Rationale: USABILITY as described in IEC 60601-1-6 ensures safety by proscribing a design PROCESS. A
241 proper USABILITY evaluation is extremely time consuming and requires subject matter experts. A hard to use
242 EURS can be better than no EURS.

243 **11.3 ALARM SYSTEMS**

244 Conformance with IEC 60601-1-8 is recommended, but not required.

245 Rationale: Full conformance with IEC 60601-1-8 would be helpful to the OPERATORS as they would more
246 readily understand the operation of the EURS ALARM SYSTEM. Care needs to be taken with auditory ALARM
247 SIGNALS to ensure that they are not too obtrusive, appropriately priority encoded (so that more urgent
248 problems are more highlighted) and there must be a means to inactivate any auditory ALARM SIGNAL. The
249 ALARM SYSTEM, ALARM LIMITS, and ALARM CONDITION priorities are complex areas to optimize for USABILITY.
250 Annex A of IEC 60601-1-8 provides a great deal of guidance.

251 **12.4 Protection against hazardous output**

252 Additional requirements:

253 **12.4.101 Measurement of AIRWAY PRESSURE**

254 **12.4.101.1 General**

- 255 a) The EURS shall be equipped with MONITORING EQUIPMENT to indicate the AIRWAY PRESSURE.
- 256 b) The site of actual measurement may be anywhere in the breathing system, but the indicated value
257 shall be referenced to the PATIENT-CONNECTION PORT.
- 258 c) Under steady-state conditions, the indicated AIRWAY PRESSURE shall be accurate to within
259 $\pm (2 + 4 \%$ of the actual reading) hPa (cmH₂O).
- 260 d) The EURS should indicate the plateau pressure at end inspiration, if measured.

261 NOTE This is measured by holding the user-powered resuscitator bag compressed at the end of inspiration for
262 approximately 200 ms allowing the plateau pressure to be measured.

263 *Check conformance by functional testing.*

264 **12.4.101.2 LOW AIRWAY PRESSURE ALARM CONDITION**

- 265 a) The AIRWAY PRESSURE MONITORING EQUIPMENT shall be equipped with an ALARM SYSTEM that detects
266 an ALARM CONDITION to indicate when the low AIRWAY PRESSURE ALARM LIMIT is reached.
- 267 b) The low AIRWAY PRESSURE ALARM CONDITION:
 - 268 1) shall be at least a MEDIUM PRIORITY, unless
 - 269 2) an INTELLIGENT ALARM SYSTEM, based on additional information, determines that:
 - 270 i) the low AIRWAY PRESSURE ALARM CONDITION is suppressed, or
 - 271 ii) its priority is changed, or
 - 272 3) may start at LOW PRIORITY, and
 - 273 4) if this state continues, escalate to medium priority.
- 274 c) The low AIRWAY PRESSURE ALARM SIGNAL may be inactivated with ALARM OFF.
- 275 d) ALARM OFF may be activated by the EURS.
- 276 e) The low AIRWAY PRESSURE ALARM LIMIT may be:
 - 277 1) pre-adjusted,
 - 278 2) RESPONSIBLE ORGANIZATION-adjustable,
 - 279 3) OPERATOR-adjustable,
 - 280 4) EURS-adjustable, or
 - 281 5) a combination of operator-adjustable and EURS-adjustable.

282 f) If the airway pressure alarm limit is adjustable by the EURS, a summary description of the algorithm
283 that determines the alarm limit value shall be disclosed in the instructions for use.

284 NOTE Depending on the type of ventilation mode being utilized, there can be more than one active ALARM LIMIT.

285 *Check conformance by functional testing.*

286 **12.4.101.3 High-pressure ALARM CONDITION and PROTECTION DEVICE**

287 a) The EURS shall be equipped with an ALARM SYSTEM that detects a high AIRWAY PRESSURE ALARM
288 CONDITION to indicate when the high AIRWAY PRESSURE ALARM LIMIT is reached.

289 b) The high AIRWAY PRESSURE ALARM CONDITION:

290 1) shall be HIGH PRIORITY, unless

291 2) an INTELLIGENT ALARM SYSTEM, based on additional information, determines that

292 i) the high AIRWAY PRESSURE ALARM CONDITION is suppressed, or

293 ii) its priority is changed.

294 c) The high AIRWAY PRESSURE ALARM LIMIT may be:

295 1) independently adjustable,

296 2) connected to an adjustable pressure limitation, or

297 3) related to the set pressure of the EURS.

298 d) If the high AIRWAY PRESSURE ALARM LIMIT is independently adjustable, it shall not be possible to set
299 the ALARM LIMIT to a value greater than 40 hPa (40 cmH₂O).

300 e) PATIENT-generated transient pressure increases should not cause the high AIRWAY PRESSURE ALARM
301 CONDITION.

302 EXAMPLE Transient pressure increase caused by the patient coughing.

303 f) The high AIRWAY PRESSURE ALARM CONDITION DELAY shall not exceed 200 ms and the EURS shall
304 arrest the pressure rise.

305 *Check conformance by functional testing.*

306 **12.4.102 Continuing pressure ALARM CONDITION**

307 a) The EURS shall be equipped with an ALARM SYSTEM that detects a continuing positive pressure of
308 less than 10 cmH₂O variation longer than 15 s.

309 b) The continuing positive pressure ALARM CONDITION:

310 1) shall be HIGH PRIORITY, unless

311 2) an INTELLIGENT ALARM SYSTEM, based on additional information, determines that

312 i) the continuing positive pressure ALARM CONDITION is suppressed, or

313 ii) its priority is changed.

314 **12.4.103 Estimated tidal volume ALARM CONDITIONS**

315 a) The EURS shall be equipped with an ALARM SYSTEM that detects a deviation in estimated tidal
316 volume of more than 20 %.

317 b) The low estimated tidal volume ALARM CONDITION:

318 1) shall be MEDIUM PRIORITY, unless

319 2) an INTELLIGENT ALARM SYSTEM, based on additional information, determines that:

320 i) the low estimated tidal volume ALARM CONDITION is suppressed, or

321 ii) its priority is changed.

322 c) The high estimated tidal volume ALARM CONDITION:

323 1) shall be MEDIUM PRIORITY, unless

324 2) an INTELLIGENT ALARM SYSTEM, based on additional information, determines that

325 i) the high estimated tidal volume ALARM CONDITION is suppressed, or

326 ii) its priority is changed.

327 **Clause 13 HAZARDOUS SITUATIONS and fault conditions for ME EQUIPMENT**

328 This Clause of the GS is required with following addition:

329 **Independence of ventilation control function and related RISK CONTROL measures**

330 a) A SINGLE FAULT CONDITION shall not cause the simultaneous failure of:

331 1) the ventilation-control function; and

332 2) the corresponding PROTECTION DEVICE.

333 b) A SINGLE FAULT CONDITION shall not cause failure in such a way that a failure of:

334 1) the ventilation-control function and the corresponding MONITORING EQUIPMENT is not detected,
335 or

336 2) the ventilation-control function and the corresponding ALARM SYSTEM is not detected.

337 *Check conformance by inspection and functional testing.*

338 **Clause 14 PROGRAMMABLE ELECTRICAL MEDICAL SYSTEMS (PEMS)**

339 This Clause of the GS is recommended but not required.

340 **Clause 15 Construction of ME EQUIPMENT**

341 This Clause of the GS is required.

342 Additional requirements:

343 **15.3.101 Mechanical strength**

344 The EURS shall be tested with each user-powered resuscitator indicated in the instructions for use at the
345 maximum tidal volume and breath rate setting for a minimum of 24 h.

346 *Check conformance by functional testing and inspection of the user-powered resuscitator to confirm that*
347 *the user-powered resuscitator has not been damaged, that the breathing system remains connected and*
348 *that the user-powered resuscitator remains in place.*

349 Additional requirement:

350 **15.3.102 Mechanical strength**

351 The user-powered resuscitator shall remain positioned in the EURS when oxygen tubing (connected to the
352 oxygen tube nipple) is pulled with a force of (40 ± 5) N at any angle within a cone of 45° to the major axis of
353 the user-powered resuscitator.

354 *Check conformance with the following test:*

355 *Attach oxygen tubing connector to the oxygen tube nipple using axial hand pressure and a twisting motion.*
356 *Position the user-powered resuscitator in the EURS according to the instructions for use. Smoothly pull the*
357 *oxygen tubing away from the user-powered resuscitator with a force of (40 ± 5) N at any angle within a cone*
358 *of 45° to the major axis of the user-powered resuscitator.*

359 *Confirm that the user-powered resuscitator remains in place and is ready to use. Disconnection of the*
360 *oxygen tubing connector from the oxygen tube nipple is not considered a failure.*

361 **Clause 16 ME SYSTEMS**

362 This Clause of the GS is required.

363 **Clause 17 Electromagnetic compatibility of ME EQUIPMENT and ME SYSTEMS**

364 This Clause is recommended but not required.

365 Rationale: The tests of IEC 60601-1-2 are time consuming and expensive set of tests that take very
366 specialized equipment. Requiring these tests for an EURS would delay availability such that new designs
367 might not be available when needed. Disclosure that these tests have not been performed and that other
368 equipment must be kept at a distance should be considered sufficient.

369 Additional requirements for an EURS:

370 **Spontaneous breathing during loss of power supply**

371 a) A PROTECTION DEVICE shall be provided to allow spontaneous breathing when normal ventilation is
372 compromised as a result of the electrical or pneumatic supply power being outside the values
373 necessary for normal operation.

374 b) The PROTECTION DEVICE may be provided by a MASK or ACCESSORY.

375 c) Under these conditions, the inspiratory and expiratory pressure drop measured at the PATIENT-
376 CONNECTION PORT with all recommended ACCESSORIES in place shall not exceed
377 6,0 hPa (6,0 cmH₂O) at a flowrate of:

378 1) 30 l/min for EURS intended to provide a DELIVERED VOLUME, $V_{del} \geq 300$ ml;

379 2) 15 l/min for EURS intended to provide a DELIVERED VOLUME, $V_{del} \leq 300$ ml;

380 NOTE This requirement is intended to allow the patient to breathe spontaneously under compromised conditions.

381 *Check conformance by functional testing and measurement of flowrate, pressure, and resistance at the*
382 *patient-connection port with that combination of accessories indicated in the instructions for use which*
383 *produces the greatest pressure drop.*

384 **Training**

385 In the application of the requirements of IEC 62366-1:2015, 5.6, 5.7.1 b), 5.7.3 d) and 5.8 training shall be
386 considered necessary for both the OPERATOR and the designee of the RESPONSIBLE ORGANIZATION.

387 NOTE Requirements for training are found in IEC 62366-1:2015, 5.8.

388 *Check conformance by inspection of the ACCOMPANYING DOCUMENT and the USABILITY ENGINEERING FILE.*

389 **POWER SUPPLY CORDS**

390 Any DETACHABLE POWER SUPPLY CORD or detachable d.c. power cord of an electrically powered EURS shall
391 be protected against accidental disconnection from the EURS under a force of 30 N.

392 *Check conformance by inspection and, for EURS when provided with an APPLIANCE COUPLER or detachable*
393 *d.c. power cord, by the following test.*

394 a) *Subject the DETACHABLE POWER SUPPLY CORD for 1 min to an axial pull of force of 30 N.*

395 b) *During the test, the MAINS CONNECTOR becoming disconnected from the APPLIANCE INLET or the*
396 *detachable d.c. power cord becoming disconnected from the d.c. input connector of the EURS is*
397 *considered a failure.*