Current Challenges with Ventilator Alarms

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Please post questions about alarms on HTSI's LinkedIn page: <u>http://www.linkedin.com/groups/Healthcare-</u> <u>Technology-Safety-Institute-HTSI-4284508</u>



Speaker Introductions

- **Thomas Krüger**, Senior Product Manager, Respiratory Care, Dräger Medical GmbH
- Russelle A. Cazares, MHA, RRT, PCS Manager, Respiratory Care Services, Children's Hospital Los Angeles
- Matthew P. Trojanowski, MSc, RRT, Manager, Adult Respiratory Care Services, Anesthesiology & Critical Care Medicine, The Johns Hopkins Hospital
- Shawna Strickland, PhD, RRT-NPS, AE-C, FAARC, Associate Executive Director, Education, American Association for Respiratory Care (Moderator)



Introduction

Shawna Strickland, PhD, RRT-NPS, AE-C, FAARC Associate Executive Director, Education American Association for Respiratory Care



Webinar Goals

- Problems with ventilator alarms
- Vendor perspective of alarm standards
- Best practices in alarm safety and quality improvement
- Limitations and barriers to alarm safety
- Recommendations on managing bedside alarms



Identifying the Problem

- Clinical alarm systems
 - Purpose: alert caregivers to changes in patient status
 - Problem: missed or ignored alarms
 - Alarm settings too broad or too narrow
 - Overwhelming number of alarms in patient care area
 - Result: potential patient care compromise



Necessity of the Topic

- Ventilator alarms
 - Unique sounds/settings
 - Parameter settings
- 2008 HTF survey
 - Nuisance alarms occur too frequently
 - Nuisance alarms reduce trust in alarm systems
 - Difficulty in hearing alarms
 - Confusion in determining cause of alarm

http://thehtf.org/documents/2011_HTFAlarmsSurveyOverallResults.pdf



TJC National Patient Safety Goal on Alarm Management (NPSG.06.01.01)

- Effective January 1, 2014
- Purpose: improve the safety of clinical alarm systems
 - Focus on managing clinical alarm systems that have the most direct relationship to patient safety
- By January 1, 2016:
 - Establish policies and procedures for managing alarms
 - Educate staff about purpose and proper operation of alarm systems

http://www.jointcommission.org/assets/1/18/JCP0713_Announce_New_NSPG.pdf





Industry Perspective on Ventilator Alarms

Example: Implemented Alarm Behaviour Dräger Evita Infinity® V500

Thomas Krüger, Dräger Medical



General Information and Alarm Grades

Alarm grades



- Alarming is based on:
 - Risk assessment
 - IEC 60601-1-8
- Signals used for alarming:
 - Flow
 - Pressure
 - Gases (FiO₂ and etCO₂)
- Auditory alarm signals
 - IEC tones
 - Draeger ventilator alarm tones
- Alarm silence
 - Alarm can be silenced for a maximum of 2 minutes
 - Silence state is indicated on screen
- Monitoring source deactivation
 - Not monitored parameters will also not be alarmed
 - Deactivation is indicated on screen

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Alarm Limits



Healthcare Technology Safety Institute

Alarm Priorities, Latching, & Escalation

Alarm priority		Alarm message	Cause	Remedy	
III	200	Arway pressure low	Leakage or disconnection.	Check breathing circuit for tight connections.	
				Check whether the expiratory valve is properly engaged.	
				Make sure that the tube or mask is connected correctly	
	140	Airway pressure negative	Airway pressure has fallen below –10 mbar (–10 cmH2O).	Disconnect tube for suctioning.	
				Check patient condition.	
				Check ventilation settings.	
			The breathing hose is connected to the expiratory valve during O2 therapy.	Connect breathing hose to the inspiratory valve.	
ļ	120	Alarm system failure	Failure of primary alarm speaker.	To continue ventilation with this device, continuously	
			In case of an alarm situation, the auxiliary acoustical alarm will sound.	monitor the device functions.	
				Call DrägerService.	
	100	Ambient pressure sensor?	Altitude setting deviates too much from measured	Check altitude setting and adjust if necessary.	
			ambient pressure.	If the setting has been adjusted, the device check must be repeated.	
			Ambient pressure sensor failure.	Accuracy of measured values depending on the atmospheric pressure could be impaired (e.g., MV, O2 concentration).	
				Call DrägerService.	
	181	Apnea	The patient has stopped	Check patient condition.	
			breathing.	Apply controlled ventilation in necessary.	
			Obstruction.	Check patient condition.	
				Check breathing circuit.	
				Check tube or mask.	
			Flow sensor is not calibrated or faulty.	Calibrate flow sensor and replace it if necessary.	

• Alarm priorities

- Alarms are prioritized by grade (red, yellow, cyan) and by given priority within one grade in order to allow most important alarm being displayed first
- Configuration of priorities by user not possible (fixed)

• Alarm latching

- Used for high grade alarms only
- Configuration by user not possible (fixed)

• Alarm escalation

 Automatic alarm escalation is not used by the ventilator



Reduction of Nuisance Alarms



- Dräger wants to reduce nuisance alarms at the origin
- Changes of alarms are based on field data and customer feedback
- Dräger analyzes alarm logbooks in order to detect the frequency of occurrence of specific alarms
- Project specific alarm logs are systematically analyzed for potential alarm reduction measures using patient clinical context data
- Based on such analysis, Dräger continuously improves alarm criteria and algorithms in order to reduce nuisance alarms

Summary



- Implemented alarms based on normative, regulatory and risk management requirements
- Alarm grades and priorities are based on the potential risk and speed of needed action
- "Alarm fatigue" is recognized and is field for research and development
- Further changes need to balance patient safety, user comfort and user attention and has to comply with international standards



Ventilator Alarms and Secondary Systems

Russelle A. Cazares, MHA, RRT Manager, Respiratory Care Services Children's Hospital Los Angeles



Objectives

- To increase knowledge and understanding of the different barriers related to ventilator alarms integration with secondary systems
- To understand industry challenges of ventilator alarm management
- To understand different strategies for managing ventilator alarms at the bedside



Ventilator Barriers

- Inconsistent and complicated alarm packages with different ventilators
 - Issues related to integration with secondary systems
 - Variation in available alarm settings
 - Inconsistent nomenclature



Ventilator Barriers (cont'd)

- Limited autonomy allowed at bedside
 - Inability to customize alarm algorithms and delays
- Inconsistent ability to transmit alarm data to 3rd party (EMR, secondary alarm notification)
 - Serial port, IP port
 - Special packages required
 - Limited data elements available



Industry Challenges

- TJC NPSG to improve ventilator alarm management
- Lack of research to determine best practice for alarm settings
- Need for greater understanding regarding alarms and alarm fatigue problem for respiratory therapists and nurses
- Need for broader understanding about alarm methodology deployed by vendors for respiratory therapists and nurses
- Need for more options for secondary alarm notification



Current State of Industry Secondary Notification

Requirement:

The ventilator itself has the ability to transmit alarm data. Many of the 'specialty' ventilators will not have this option or if they do, the data elements available are limited.

Today there are 3 technical options on the market to allow ventilator alarms be sent to a caregiver's mobile device as a secondary alarm notification.



Option 1: Ventilator to Auxiliary Jack -Nurse Call

- Advantages
 - Allow middleware to implement a delay of 'x' seconds prior to dispatching to mobile device
 - Allows for designated care givers to be notified
 - Allows for audible and visual alarm outside the patient room and in the central phone console
- Limitations
 - May require middleware software
 - Dedicated auxiliary port required
 - Alarm is generic will only send a message as a "vent alarm"
 - Every vent alarm will be dispatched
 - Patient with a large airway leak may trigger constant low pressure alarm that may result in nuisance alarm



Option 2: Ventilator Alarm Pack to Patient Monitor

- Advantages
 - Allow middleware to implement a delay of 'x' seconds prior dispatching to mobile device
 - Allows for designated care givers to be notified (e.g., nurse and respiratory therapist)
 - Allows user customization of visual and audible alarm type at patient monitor
 - Allows for audible and visual alarm at central monitor station
 - Allows patient data to be transferred to the EMR
- Limitations
 - Requires middleware software
 - Number of data elements is limited
 - Only certain ventilators have the ability to interface with the patient monitor



Option 3: Ventilator to Integrators and Middleware

- Advantages
 - Allow middleware to implement a delay of 'x' seconds prior to dispatching to mobile device
 - Allows for designated caregivers to be notified
 - Allows HCOs to choose at a granular level which alarm and at what priority they are to be dispatched (e.g., low press = high priority; high respiratory rate may not be sent at all)
- Limitations
 - HCOs must purchase 2 software solutions to achieve desired outcome
 - HCOs must deal with multiple vendors that do not always communicate



Management of Primary Ventilator Alarms

- Evaluate and study your organization's current ventilator management
 - Understand respiratory therapists' practice on ventilator alarm settings
 - Prerequisite prior to implementation or changes with your secondary notification system
 - Multidisciplinary approach
- Respiratory therapists' responsibilities
 - Set alarm limits based on individual patient condition and assessment
 - Designated primary responder for all ventilator alarms
 - Determines the most important ventilator alarm and expected response
 - Shared sense of responsibility with nurses and other clinicians
 - Best practice in alarm management

Opportunities for Secondary Alarm System

- Ventilator manufactures should work to provide more options
 - Add delay for specific alarms
 - Standardized nomenclature for alarms and alarm events
 - Involve respiratory therapists and other clinicians to determine best practice guidelines driven by patient safety
- Integrators and middleware providers should develop costeffective solutions for HCOs
 - Multiparameter and intelligent alarms
- HCOs should work with industry to obtain research funding and methodology to provide evidence-based best practice guidelines
 - Redirect our attention to alarm signals that's more important, and data driven
 - Share outcomes data



Look Before You Leap: Evaluating Current Practice for Ventilator Alarm Management

Matthew P. Trojanowski, MSc, RRT Manager, Adult Respiratory Care Services Anesthesiology & Critical Care Medicine The Johns Hopkins Hospital



Objectives

- By examining a pilot program at The Johns Hopkins Hospital, participants will:
 - Understand the importance of characterizing the current situation and evaluating current practice at your institution to produce data-driven change for management of ventilator alarms
 - Understand why it is important to focus on the elements of performance for phase I of NPSG 06.01.01 before making changes to practice



Current Rate of Ventilator Alarms in Pilot Unit



- Average of 173 ventilator alarms/day/unit
- Duration (sample of 1213 ventilator alarms)
 - Mean: 4.32 sec
 - % > 10 sec: 7.09%
 - % > 20 sec: 1.65%
 - % > 30 sec: 0.49%

Nearly 91% of the 1,103 alarms were < 10 sec in total duration... are these actionable?

- Challenges of Data
 - At this point, not distinguished as actionable vs. non-actionable
 - Unable to determine specific alarm condition
 - Unable to determine priority level of alarm

Number and Timing of Alarms

of Alarms per Week by Time of Day



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Evaluating Current Practice in a Pilot Unit

- Respiratory therapy approaches to setting alarms in volumecontrolled ventilator modes
 - No policy in place at this time
 - Settings based off clinician intuition
 - Respiratory therapists have complete control of ventilator alarm settings
 - Neurological Intensive Care Unit (NCCU)
 - One ventilator model
 - 30 patient charts randomly selected for review during a 6-month timeframe in 2013
 - Evaluated the following ventilator parameters through randomized, retrospective chart review
 - Minute volume, tidal volume, respiratory rate, inspiratory pressure
 - Averaged parameters over 24 hours
 - Alarm settings set by respiratory therapist



What We Found....

- Respiratory therapists set similar limits regardless of ventilator settings/patient characteristics
 - "One size fits all" approach apparent
- Significant variation in the % change of patient parameter required to trigger alarm
 - Similar/"default" alarm limits used for patients with different ventilator settings/measurements, and pulmonary characteristics
- In general, the lower limit was set more conservatively than the upper limit for all parameters
- Clinician intuition/conditioning
 - No evidence to confirm using "fixed" thresholds is "better/worse" than using % change

Example: High and Low Minute Volume Alarm

Alarm Parameter Setting % Variation from Patient Actual Minute Volume

			00	
	High MV	Low MV	20 -	Low MV
Mean	174.42%	61.03%		-Actual MV
Min	55.04%	8.26%	15 -	
25 th Percentile	92.06%	56.34%	10 -	←11.5 LPM difference (175% above actual MV)
Median	176.22%	66.04%		
75 th Percentile	228.14%	71.59%	5 -	4 LPM difference
Max	358.72%	79.70%		(66% below actual MV)
Range	303.68%	71.44%	0 -	

Where We Go From Here...



Phase I of NPSG.06.01.01

- Establish alarm management as an organizational priority
- Identify most important alarms to manage



• Pilot program to identify most important ventilator alarms to manage



Where We're Headed...





Don't start with creating/redoing a policy. The policy should be the end result of your intervention & analysis process

Compile and evaluate existing data to identify areas for improvement Pilot different interventions to address what you discovered in your baseline analysis

Create guidelines/policy for ventilator alarm management



Summary

- TJC NPSG
 - January 2016 deadline
- Industry recognizes alarm fatigue
 - Research and development
- Identify appropriate ventilator notification
- Departmental/organizational guidelines



Closing Reminders

Thank you for your time and attention!

Mark Your Calendars! Educating and Training Your Staff: Circling Back to Your Policies and Procedures Tuesday, April 29, 2014 1:00-2:00 pm Eastern www.aami.org/htsi/events.html



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http://www.aacn.org/DM/CETests/Overview.aspx?TestID=1090&mid=2864&Ite mID=1082&menu=CETests

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http://www.surveymonkey.com/s/W6JQNXX

American Association for Respiratory Care



Evaluation Form and Certificate of Attendance (Non-CE and Non-CRCE)

Please let us know how we did!

http://aami.confedge.com/ap/survey/s.cfm?s=Ventilators

After you fill out the evaluation form and enter your email address, you will receive an electronic certificate by email

